

GUIDELINE NO. 1

ARCHITECTS, PROFESSIONAL ENGINEERS AND QUANTITY SURVEYORS, ORDER 2011 AND

ARCHITECTS, PROFESSIONAL ENGINEERS AND QUANTITY SURVEYORS, RULES 2016

PART VII – SECTION 35-50

DISCIPLINE AND ETHICS MANUAL

DISCIPLINE AND ETHICS COMMITTEE 01st May 2022

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Amendments issued since publication

Revision / Edition No.	Date of Issue	Text affected
Edition 2, Revision No.01	01 May 2022	Generally:
		• UpdateContact Details of BAPEQS Office
		Section 3:
		• Objectives of the D&E Guidelines
		Section 4:
		Section 7:
		 [B] Investigation committees added 'or layman' to table Rows No.4 as the allied member of the investigation committee. SECTION 11
		 11.1 List of Forms – rename reference code of Complaint Form – Checklist A as FORM APEQS – CF1CA Complaint Form – Checklist B as FORM APEQS – CF1CB
		11.3 List of Notification Templates (new)
		Appendix I to Appendix M

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DISCIPLINE AND ETHICS MANUAL

Contents

Section 1	:	Background	3
Section 2	:	BAPEQS Mission	3
Section 3	:	DEC Objectives	3
Section 4	:	BAPEQS Functions	4
Section 5	:	APEQS Order 2011	4
Section 6	:	Composition of Investigation Panels	5
Section 7	:	Composition Investigation Committees	6
Section 8	:	Composition Of Panels & Committee	7
Section 9	:	Appointment Of Investigation Panel and Investigation Committee Process Flowchart	8
Section 10	:	Appointment Of Disciplinary Committee Process Flowchart	8
Section 11	:	11.1 List of Forms	8
	:	11.2 Schedule of Forms	8
	:	11.3 List of Notification Templates	9
Section 12	:	12.1 Complaints Handling Process [CHP] & Board Decision and Appeal Process Flowchart 1	9
	:	12.2 Complaints Handling Process [CHP] & Board Decision and Appeal Process Flowchart 1A	9

Background

BOARD of ARCHITECTS, PROFESSIONAL ENGINEERS and QUANTITY SURVEYORS (APEQS) is the first Board members consented by His Majesty The Sultan And Yang Di-Pertuan on 13th September 2012. BAPEQS was established under the Ministry of Development (MOD) and was chaired by its Permanent Secretary. The Board has since then appointed ten (10) members to be a part of it. Giving a total of eleven (11) board members on seat.

Board of Architects, Professional Engineers and Quantity Surveyors (APEQS) Board Management Division (BMD) Ground Floor (East Wing), Ministry of Development Building, Jalan Pembangunan, BB3510 Brunei Darussalam.

SECTION 2

BAPEQS Mission

To regulate the qualifications and practice of architects, professional engineers and quantity surveyors and the provision of services by bodies and sharing in various disciplines.

SECTION 3

Discipline and Ethics Objectives

- 1. Advise the Board of professional practice to be adhered by the Architects, Professional Engineers and Quantity Surveyors in line with the APEQS Order, 2011 and APEQS Rules.
- 2. Advise the Board on the due process and necessary action against those who contravene the APEQS Order, 2011 and APEQS Rules.
- 3. Conduct briefing to make aware the Discipline and Ethics processes set out in the APEQS Order or APEQS Rules as well as in the Guidelines issued by the Board.

BAPEQS Functions

- 1. Keep and maintain register of APEQS.
- 2. Hold exams to enable person to qualify for registration.
- 3. Approve/reject application.
- 4. Cancel, remove or reinstate any registration.
- 5. Recommend scale of fees to be charged.
- 6. Establish, maintain and develop standards of professional conduct and ethics of APEQS professions.
- 7. Promote learning and education related to APEQS.
- 8. Hear and determine disputes relating to conduct or ethics of registered APEQS or to appoint a committee or arbitrator to hear and determine those disputes.
- 9. Appoint arbitrators for purpose of hearing and determining disputes between registered APEQS or licensed corporations or partnerships and other persons.
- 10. To license corporations or multi-discipline partnerships which intend to supply APEQS services in Brunei Darussalam.
- 11. Generally to do such acts, matters and things as are necessary to be carried out under the Order.

SECTION 5

APEQS Order 2011

To provide registration of APEQS. To regulate qualifications and practice of APEQS, and the supply of APEQS services in Brunei Darussalam

APEQS Composition of Investigation Panels

[A] Investigation Panels Part VII Section 35

		Minimum Requirements				
Role	Minimum No. of Persons	Investigation of Architects	Investigation of Engineers	Investigation of Quantity Surveyors	Term of Service	Section Ref.
Panel Member	7 (5 for QS)	Registered Architect with 10 years standing and not members of the Board	Registered Engineers with 10 years standing and not members of the Board	Registered Quantity Surveyors with 10 years standing and not members of the Board	2 years	S35
Allied Panel Member	5 (3 for QS)	Allied Professionals (Engineer or Quantity Surveyor) or Layman	Allied Professionals (Architect or Quantity Surveyor) or Layman	Allied Professionals (Engineer or Architect) or Layman	2 years	S35

APEQS Composition Investigation Committees

[B] Investigation Committees Part VII Section 38

		Minimum Requirements				
Role	Minimum No. of Persons	Investigation of Architects	Investigation of Engineers	Investigation of Quantity Surveyors	Term of Service	Section Ref.
Chairman	1	Member of the Board and a Registered Architect	Member of the Board and a Registered Engineer	Member of the Board and a Registered Quantity Surveyor	As the board sees fit	S38
Member	2	Registered Architect drawn from Investigation Panel; has not previously assisted the Registrar to review the same complaint	Registered Engineer drawn from Investigation Panel; has not previously assisted the Registrar to review the same complaint	Registered Quantity Surveyors drawn from Investigation Panel; has not previously assisted the Registrar to review the same complaint	As the board sees fit	S38
Allied Member	1	Allied Professional or layman drawn from Investigation Panel (Engineer or QS); has not previously assisted the Registrar to review the same complaint	Allied Professional or layman drawn from Investigation Panel (Architect or QS); has not previously assisted the Registrar to review the same complaint	Allied Professional or layman drawn from Investigation Panel (Architect or Engineer); has not previously assisted the Registrar to review the same complaint	As the board sees fit	S38

APEQS Composition Disciplinary Committees

[C] Disciplinary Committees Part VII Section 42

		Minimum Requirements				
Role	Minimum No. of Persons	Investigation of Architects	Investigation of Engineers	Investigation of Quantity Surveyors	Term of Service	Section Ref.
Chairman	1 (drawn from the Disciplinary Committee Members)	Any member of the Disciplinary Committee as decided by the Board; not a member of an investigation committee inquiring into the same complaint	Any member of the Disciplinary Committee as decided by the Board; not a member of an investigation committee inquiring into the same complaint	Any member of the Disciplinary Committee as decided by the Board; not a member of an investigation committee inquiring into the same complaint	As the board sees fit	S42
Member	3 (2 shall be members of the Board)	Registered Architect with 10 years standing; not a member of an investigation committee into the same complaint	Registered Engineer with 10 years standing; not a member of an investigation committee into the same complaint	Registered Quantity Surveyor with 10 years standing; not a member of an investigation committee into the same complaint	As the board sees fit	S42

Appointment of Investigation Panel and Investigation Committee Process Flowchart (as attached Appendix A)

SECTION 10

Appointment of Disciplinary Committee Process Flowchart (as attached Appendix B)

SECTION 11

11.1 List of Forms

NO.	STANDARD ADMINISTRATIVE FORMS	REFERENCE CODE
1.	Complaint Form (as attached Appendix C)	FORM APEQS – CF1.Rev 1
2.	Complaint Form – Checklist A (as attached Appendix D)	FORM APEQS – CF1CA
3.	Complaint Form – Checklist B (as attached Appendix E)	FORM APEQS – CF1CB
4.	Investigation Assessment Sheet (as attached Appendix F)	APEQS - IDAS
5.	Appeal Form (as attached Appendix G)	APEQS – APF1

11.2 Schedule of Forms (as attached Appendix H)

11.3 List of Notification Templates

NO.	LETTER TEMPLATES	INTENDED COMMITTEE TO BE USED
1.	Notice to Attend Inquiry Template (as attached Appendix H)	Investigation Committee
2.	Notice to Attend Formal Inquiry Template (as attached Appendix I)	Disciplinary Committee
3.	Formal Inquiry Requisition Template (as attached Appendix J)	Disciplinary Committee

Note:

- 1. Respective Committee Chairman to liaise with BAPEQS Registrar/ Secretariat on letter reference no. to be used.
- 2. Respective Committee to liaise with BAPEQS Registrar/ Secretariat on Date, Time and Venue for Inquiry/ Formal Inquiry

SECTION 12

12.1 Complaints Handling Process [CHP] & Board Decision and Appeal Process Flowchart 1 (as attached Appendix K)

12.2 Complaints Handling Process [CHP] & Board Decision and Appeal Process Flowchart 1 (as attached Appendix L)



APPOINTMENT OF INVESTIGATION PANEL AND INVESTIGATION COMMITTEE PROCESS FLOWCHART



APPOINTMENT OF INVESTIGATION PANEL AND INVESTIGATION COMMITTEE PROCESS FLOWCHART





APPOINTMENT OF DISCIPLINARY COMMITTEE PROCESS FLOWCHART



APPOINTMENT OF DISCIPLINARY COMMITTEE PROCESS FLOWCHART



APPENDIX C

COMPLAINT FORMS FORM APEQS – CF1.REV 1



لمباڭ اركيٽيڭ جوروٽرا قُروفيسيونل دان جورواوكور باھن نڭارا بروني دارالسلام Brunei Darussalam Board of Architects Professional Engineers and Quantity Surveyors

Attention To : Head Secretariat Of Board Of APEQS Ground Floor, Ministry of Development Building, Jalan Pembangunan, BB 3510, Negara Brunei Darussalam.

For Office Use		
CF Ref :		
Received By	: <u> </u>	
Date Received :		

COMPLAINT FORM (CF1 Rev.1)

SECTION A : PARTY CONCERNED (The person against whom the complaint is being made) :

Name	License Number (if known)
Company	
Address	
Phone	Fax
Email	Other Information
	SECTION B : COMPLAINANT (The person making the complaint) :
Name	Identity Card Number
Address	
Phone	Fax
Email	



Г

لمباڭ اركيتيك جوروترا قُروفيسيونل دان جورواوكور باهن نڭارا بروني دارالسلام Brunei Darussalam Board of Architects Professional Engineers and Quantity Surveyors

[NOTE: Details of Complainant must be provided in order to pursue the Complaint]

SECTION C : DESCRIBE THE COMPLAINT. Be brief a involved? Give times, dates, locations and details. Include or including plans, maps, letters, contracts, etc. If there is no writte of the agreement, including dates Section D & E attached to be [NOTE: Attach extra pages as needed and be as complete as pos	ppies of All RELEVANT DOCUMENTATIONS, n contract, explain and provide references and details completed.
DECLARATION As per Section 36 of APEQSO, 2011, I hereby submit a duly co confirming that the above complaint, including any attached pag and belief.	• • • •
	Date
Pennimed Dr.	A almonulad and Dry :

Received By :	Acknowledged By :
Head Secretariate Of Board Of APEQS Date:	Chairman Of Board Of APEQS Date:

REGISTRAR; For Further Action

Completed COMPLAINT FORM APEQS-CF1 must be submitted with CHECKLIST A FORM APEQS-CF1A

J



لمباڭ اركيتيڭ جوروترا قَروفيسيونل دان جورواوكور باهن نڭارا بروني دارالسلام Brunei Darussalam Board of Architects Professional Engineers and Quantity Surveyors

SECTION D: CHECKLIST OF COMPLAIN [As stated in SECTION C]

Complain is made against (please tick one only. If more than one please complete a separate form):-

Architect	Professional Engineer	Quantity Surveyor

Complainant to tick the following where applicable (can be more than one):-

1. APEQSO 2011: SECTION 10 (1,3,4,6,8) 11 (1,3,4,6,8) 12 (1,3,4,6,8) The complaint concerns a person has practised or; sign or submitted documents or; verbally or otherwise used the a

auti with	ivative, abbreviation or any word, name or designation or; advertised, held, conducted himself as a person horized to supply architecture, professional engineering or Quantity Surveying, without being a registered professional a in force practicing certificate, under the supervision of a registered professional with in force practicing certificate or roved by board to work in collaboration with a registered professional with in force practicing certificate.	
Ть	APEQSO 2011: SECTION 14 (1) a complaint concerns a person employed as architect, a professional engineer or a quantity surveyor, who is neither gistered nor who has a valid practicing certificate.	
3.	APEQSO 2011: SECTION 22 (2)	_
(a)	The complaint concerns the knowledge that the concerned has obtained his registration by fraud or misrepresentation.	
(b)	The complaint concerns the knowledge that the concerned has qualifications for registration under section 17 has been withdrawn cancelled by the authority through which he was acquired or by which it was awarded.	
(c)	The complaint concerns knowledge that he has refused or failed to comply with any order made by the board under section $47(2)(c)$ or (e).	
4.	APEQSO 2011: SECTION 36	_
(a)	The complaint concerns conviction of a registered architect, a registered professional engineer or a registered quantity surveyor of any offence involving fraud, dishonesty or moral turpitude, or implying a defect in character which makes him unfit for his profession;	
(b)	The complaint concerns any improper act or conduct of a registered architect, a registered professional engineer or a registered quantity surveyor in his professional capacity which brings disrepute to his profession;	
(c)	The complaint concerns any contravention by a registered architect, a registered professional, engineer or a registered quantity surveyor of any provision of the prescribed code of professional conduct and ethics (APEQSR);	
(d)	The complaint concerns the ability of a registered architect, a registered professional engineer or a registered quantity surveyor to carry out the duties of an architect, a professional engineer or a quantity surveyor effectively in Brunei Darussalam; or	
(e)	The complaint concerns failure on the part of a registered architect, a registered professional engineer or a registered quantity surveyor while being a director, a manager or an employee of a licensed body corporate, or a partner, a manager or an employee of a licensed partnership, to take reasonable steps to prevent that body corporate or partnership from acting in a manner (other than as described in section $33(1)(d)$	



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SECTION E : LIST OF RELEVANT DOCUMENTATIONS

[As stated in SECTION C]

No.		Ref No.	Pages
1	STATUTORY DECLARATION (as per clause \$36 of the Professional Order 2011. Complaint made by Public Officer or Officer of the Board is exempted)		
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			



COMPLAINT FORM – CHECKLIST A FORM APEQS – CF1CA



COMPLAINT FORM – CHECKLIST B FORM APEQS – CF1CB

APPENDIX F

INVESTIGATION ASSESSMENT SHEET

APEQS - IDAS

APPENDIX G

APPEAL FORM

APEQS – APF1

APPENDIX H

SCHEDULE OF FORMS



SCHEDULE OF FORMS USED WHEN HANDLING COMPLAINTS

Refer to Flowchart for Complaints Handling Process [CHP] & Board Decision and Appeal Process

	FORM	USE	PREPARATION		WHO SIGN	REMARK
			WHO	WHEN		
1.0	COMPLAINT FORM (FORM APEQS – CF1.Rev 1)	To record complaint received from complainant, client and/or public	Complainant, client and/or public	When making a complaint	Complainant	1.Form received by Head BAPEQS Secretariate 2.Acknowledged by Chairman BAPEQS
2.0	COMPLAINT FORM – CHECKLIST A (FORM APEQS – CF1CA)	To identify source and review of complaint	Head Secretariate BAPEQS	After receiving a complaint	Chairman BAPEQS	Recommendation(s) is to be made to the Registrar and/or Board
3.0	COMPLAINT FORM – CHECKLIST B (FORM APEQS –CF1CB)	To review and give recommendation(s) to a complaint	Registrar BAPEQS	When any recommendation(s) from FORM APEQS – CF1A is made to Registrar	Registrar BAPEQS	Recommend matter to the Board and matter to be referred to Investigation Committee or Disciplinary Committee
4.0	INVESTIGATION AND DISCIPLINARY ASSESSMENT SHEET (APEQS – IDAS)	To make further assessment and give recommendation(s) to a complaint	Head Secretariate BAPEQS	When the Board refer the matter to Investigation Committee or Disciplinary Committee	 Investigation Committee Chairman of Investigation Committee Disciplinary Committee Chairman of Disciplinary Committee 	Assessment Sheet can be used for Investigation Committee Assessment and Disciplinary Committee Assessment
5.0	APPEAL FORM (APEQS – APF1)	To record appeal received from appellant	Appellant	Within 30 Days upon receiving BAPEQS Letter Of Notice Of Warning	Appellant	Form to be submitted to the Minister of Development

APPENDIX I

INVESTIGATION COMMITTEE

Notice to Attend Inquiry Template



لمباڭ اركيتيك جوروترا قُروفيسيونل دان جورواوكور باهن نڭارا بروني دارالسلا Brunei Darussalsm Board of Architects Professional Engineers and Quantity Surveyors

CONFIDENTIAL

Order to Attend Inquiry under the Provisions

of Section 39 of the Architects, Professional Engineers and Quantity Surveyors Order, 2011

Date: DD MM YYYY

INQUIRY NO .: IC/XXX/XXX/XX

To: Addressee Address Email:

IN THE MATTER OF INQUIRY AGAINST

.....[NAME].....

WHEREAS there is reason to believe that you are acquainted with the facts and circumstances of the above inquiry, you are hereby required to appear on the following date, time and venue:

Date :	DD MM YY
Time :	x.xx a.m. / p.m.
Venue :	[LOCATION OF INQUIRY]
	[Note: Make sure date set is 21 days after the date of this notice]
d regarding vo	ur acquaintance herewith

to be examined regarding your acquaintance herewith.

You are reminded that refusal or failure to appear on the said date without lawful excuse is an offence punishable upon conviction to a fine not exceeding \$5,000, imprisonment for a term not exceeding 6 months or both.

[XXXXXXXXXXX]

Chairman of Investigation Committee Board of Architects, Professional Engineers and Quantity Surveyors

Board Management Division (BMD) Office Ground Floor, Ministry of Development Building Jalan Pembangunan, BS3510 Negara Brunei Darussalam

Email : apeqs@mod.gov.bn Website : www.babeos.cov.bn www.mod.cov.bn

APPENDIX J

DISCIPLINARY COMMITTEE

Notice to Attend Formal Inquiry Template



CONFIDENTIAL

Notice of Formal Inquiry under the Provisions

of Section 45 of the Architects, Professional Engineers and Quantity Surveyors Order, 2011

Date: DD MM YYYY

FORMAL INQUIRY NO.: DC/XXX/XXX/XX

Addressee Address

Email:

IN THE MATTER OF FORMAL INQUIRY AGAINST

....[NAME]...

Notice is hereby given that the Board of Architects, Professional Engineers and Quantity Surveyors has pursuant to section 45(1) of the Architects, Professional Engineers and Quantity Surveyors Order, 2011 ("the Order") ordered that a formal inquiry be held by a Disciplinary Committee into the complaint made [jointly] by [the Name and Designation of Complainant and Authority for Building Construction and Industry] on or about [date] [and has pursuant to section 42(1) of the Order appointed a Disciplinary Committee to formally inquire into the following Charge:

CHARGE

that you, [Name] (IC: XX-XXXXX(Y); Registration No. XXXXX) as the [architect/engineer/ quantity surveyor] engaged by [Construction Sdn. Bhd.] in respect of the building works involving the [erection of a seven-storey and an eight-storey residential flats with attic and additions and alterations to the existing two-storey detached house with basement car parking, swimming pool on Lot XXXX Project Address] ("the Project") and being the qualified person appointed under section [X] of the Building Control Order, 2014:

1.	inconsistent or out of keeping with the fitting and proper discharge of his professional duties by [failing to supervise the carrying out of the	and had thereby contravened Rule 13(a) of Part 1 of the Code of Professional Conduct and Ethics contained in the Schedule to the Architects, Professional Engineers and Quantity Surveyors (Professional Conduct and
	building works on [project site]	

Board Management Division (BMD) Office Ground Floor, Ministry of Development Building Jalan Pembangunan, BS3510 Negara Brunei Darussalam Email : apeqs@mod.gov.bn Website : www.bapeqs.cov.bn www.mod.cov.bn



لمباڭ اركيتيك جوروترا قُروڤيمبيونل دان جورواوكور باهن نڭارا بروني دارالسلام Brunei Darussalam Board of Architects Professional Engineers and Quantity Surveyors

2. had, in the course of rendering architectural/engineering/quantity surveying] services for the Project, failed to exercise due diligence to ensure that [Construction Sdn Bhd] in the [demolition of the three-storey detached house in the [project] sometime between [date and date] had complied with the Building Control Order, 2014 by obtaining the necessary permit for the said demolition	and had thereby contravened Rule 16 (a) of Part 1 of the Code of Professional Conduct and Ethics contained in the Schedule to the Architects, Professional Engineers and Quantity Surveyors (Professional Conduct and Ethics) Rules 2018;
3. had, in the course of rendering [architectural/engineering/quantity surveying] services for the Project, failed to immediately report to the Authority for Building Construction and Industry that [the two-storey detached house had been demolished by Construction Sdn. Bhd.] without the necessary permit in contravention of section X of the Building Control Order, 2014, which contravention you knew of sometime between [date and date]	and had thereby contravened Rule 16 (b) of Part 1 of the Code of Professional Conduct and Ethics contained in the Schedule to the Architects, Professional Engineers and Quantity Surveyors (Professional Conduct and Ethics) Rules 2018.

You are hereby given notice that you and your/or your Counsel are required to attend before the abovementioned Disciplinary Committee on the following date, time and venue:

Date	:	DD MM YY
Time	:	<mark>x.xx</mark> a.m. / p.m.
Venue	:	Location of Formal Inquiry

[Note: Make sure date set is 21 days after the date of this notice]

to answer the charge above and not to depart from there without permission from the Disciplinary Committee.

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You are reminded that refusal or failure to appear to the said date without lawful excuse is an offence punishable to a fine not exceeding \$5,000, imprisonment for a term not exceeding 6 months or both.

[XXXXXXXXXXX]

Chairman of Disciplinary Committee Board of Architects, Professional Engineers and Quantity Surveyors

Board Management Division (BMD) Office Ground Floor, Ministry of Development Building Jalan Pembangunan, 853510 Negara Brunei Darussalam Email : apeqs@mod.gov.bn Website : <u>www.bapeos.cov.bn</u> <u>www.mod.cov.bn</u>

APPENDIX K

DISCIPLINARY COMMITTEE

Formal Inquiry Requisition Template



CONFIDENTIAL

Notice of Formal Inquiry under the Provisions

of Section 45 of the Architects, Professional Engineers and Quantity Surveyors Order, 2011

Date: DD MM YYYY

FORMAL INQUIRY NO.: DC/XXX/XXX/XX

Addressee

Address

Email:

IN THE MATTER OF FORMAL INQUIRY AGAINST

......[<u>NAME</u>].....

who is suspected to have committed the offence(s) of:

 while engaging in the practice of [architecture/engineering/quantity survey], acted in a manner which [was inconsistent or out of keeping with the fitting and proper discharge of his professional duties by [failing to supervise the carrying out of the building works on [project site]; 	Rule 13(a) of Part 1 of the Code of Professional Conduct and Ethics contained in the Schedule to the Architects, Professional
 in the course of rendering architectural/engineering/quantity surveying] services for the [project], failed to exercise due diligence to ensure that [Construction Sdn Bhd] in the [demolition of the three-storey detached house in the [project] sometime between [date and date] had complied with the Building Control Order, 2014 by obtaining the necessary permit for the said demolition. 	Rule 16 (a) of Part 1 of the Code of Professional Conduct and Ethics contained in the Schedule to the Architects, Professional Engineers and Quantity Surveyors (Professional Conduct and Ethics) Rules 2018

WHEREAS a formal inquiry has been ordered by the Board of Architects, Professional Engineers and Quantity Surveyors into a complaint made [jointly] by [the Name and Designation of

Board Management Division (BMD) Office Ground Floor, Ministry of Development Building Jalan Pembangunan, 853510 Negara Brunei Darussalam Email : apeqs@mod.gov.bn Website : <u>www.bapeos.cov.bn</u> <u>www.mod.cov.bn</u>

APPENDIX L

Complaints Handling Process [CHP] & Board Decision and Appeal Process

Flowchart 1

APPENDIX M

Complaints Handling Process [CHP] & Board Decision and Appeal Process

Flowchart 1A